

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small>		Attorney Docket No. NL000078A	
		First Inventor MICHEL M.J. DECREE	
		Title METHOD OF MANUFACTURING A CIRCULAR OPTICAL STORAGE DISC AND CIRCULAR OPTICAL STORAGE DISC	
Date OCTOBER 23, 2003 Express Mail Label No. EV312014098		03-088 U.S. PTO 10/69-1784	

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Alexandria, VA 22313

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages **11**]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **2**]

5. Oath or Declaration [Total Pages **1**]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS
9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:


☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: **09/773,131**

Prior application information: Examiner **KIRSTEN JOLLEY** Group / Art Unit: **1762**

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

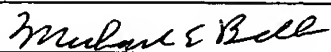
17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

24737

PATENT TRADEMARK OFFICE
(Insert Customer No. or Attach bar code label here)

☐ Correspondence address below

Name PHILIPS ELECTRONICS NORTH AMERICA CORP.	
Address 345 Scarborough Road	
City Briarcliff Manor	State NY Zip Code 10510
Country USA	Telephone 914 333-9643 Fax 914 332-0615

Name (Print/Type) MICHAEL E. BELK	Registration No. (Attorney/Agent) 33,357
Signature 	Date 10/22/03

102303
16569 U.S. PTO

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **750**

Complete if Known

Application Number	
Filing Date	CONCURRENTLY
First Named Inventor	MICHEL M.J. DECRE
Examiner Name	KIRSTEN JOLLEY
Group / Art Unit	1762
Attorney Docket No.	NL000078A

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: **14-1270**

Deposit Account Name: **Philips Research**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE					
Large	Small	Entity	Fee	Fee Description	Fee Paid
Code	Code		(\$)		
101	201	370	740	Utility filing fee	770
106	206	165	330	Design filing fee	
107	207	255	510	Plant filing fee	
108	208	370	740	Reissue filing fee	
114	214	80	160	Provisional filing fee	
SUBTOTAL (1)					(\$770)

2. EXTRA CLAIM FEES

		Extra Claims		Fee from below		Fee Paid	
Total Claims							
-20 **	=	0	X		=	0	
Independent Claims		0	-3 **	=	0	X	84
Multiple Dependent				X			0

Large		Small		Entity			
Fee	Fee	Fee	Fee	Fee	Fee	Fee Description	
Code	Code	Code	Code	Code	Code		
103	18	203	9	42	84	Claims in excess of 20	
102	84	202	42	140	280	Independent claims in excess of 3	
104	280	204	140	42	84	Multiple dependent claim, if not paid	
109	84	209	42	9	18	** Reissue independent claims over original patent	
110	18	210	9			** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)							(\$ 0)

FEE CALCULATION (continued)

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design Issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$ 0)

SUBMITTED BY

Name (Print/Type)	Michael E. Belk	Registration No. Attorney/Agent)	33,357	Telephone	(914) 333-9643
Signature	<i>Michael E. Belk</i>			Date	OCTOBER 22, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

MICHEL M.J. DECRE

NL000078A

Serial No.

Filed: CONCURRENTLY

Title: METHOD OF MANUFACTURING A CIRCULAR OPTICAL STORAGE DISC AND
CIRCULAR OPTICAL STORAGE DISC

Commissioner for Patents
Alexandria, VA 22313

APPOINTMENT OF ASSOCIATES

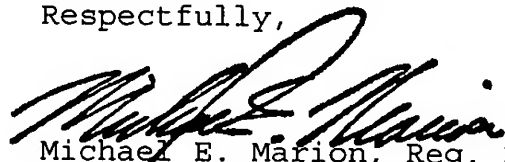
Sir:

The undersigned Attorney of Record hereby revokes all prior appointments (if any) of Associate Attorney(s) or Agent(s) in the above-captioned case and appoints:

MICHAEL E. BELK (Registration No. 33,357)
c/o U.S. PHILIPS CORPORATION, Intellectual Property Department, 580 White Plains Road, Tarrytown, New York 10591, his Associate Attorney(s)/Agent(s) with all the usual powers to prosecute the above-identified application and any division or continuation thereof, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

ALL CORRESPONDENCE CONCERNING THIS APPLICATION AND THE LETTERS PATENT WHEN GRANTED SHOULD BE ADDRESSED TO THE UNDERSIGNED ATTORNEY OF RECORD.

Respectfully,


Michael E. Marion, Reg. 32,266
Attorney of Record

Dated at Tarrytown, New York
this 14th day of October, 2003.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

MICHEL M.J. DECRE

NL000078A

Serial No.

Filed: CONCURRENTLY

Title: METHOD OF MANUFACTURING A CIRCULAR OPTICAL STORAGE DISC
AND CIRCULAR OPTICAL STORAGE DISC

Commissioner for Patents
Alexandria, VA 22313

AUTHORIZATION PURSUANT TO 37 CFR 91.136(a)(3)
AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

By Michael E. Belk
Michael E. Belk, Reg. 33,357
Attorney
(914) 333-9643